Progressive Studies on the Novel Samarium-Catalyzed Diastereoselective Tandem Semipinacol Rearrangement/Tishchenko Reduction of Secondary α -Hydroxy Epoxides

Chun-An Fan, Xiang-Dong Hu, Yong-Qiang Tu,* Bao-Min Wang, and Zhen-Lei Song^[a]

Abstract: A novel and highly diastereoselective samarium-catalyzed tandem rearrangement/reduction of secondary α -hydroxy epoxides, which involves a C1 to C3 carbon migration rearrangement and a very interesting hetero-Tishchenko reduction of the intermediate aldehyde and the reductant aldehyde, has been reported. This reaction could be developed to provide a facile and stereoselective construction of 2-quarternary 1,3-diol units with an hydroxymethyl moiety attached to the diastereogenic quaternary carbon center. Detailed investigations have been carried out con-

Keywords: alcohols • diastereoselectivity • rearrangement • samarium • Tishchenko reaction cerning the screening of the aldehydes as a reductant, the optimization of reaction conditions, and the substrate scope of this tandem reaction. A catalytic cycle for this reaction, the electronic and steric effects of the reductant aldehydes, and the mechanism for the acyl migration of 1,3-diol monoesters are proposed.

Introduction

The tandem semipinacol rearrangement/reduction of α -hydroxy epoxides is of considerable synthetic importance, as in one step and with high diastereoselectivity it efficiently generates 2-quarternary 1,3-diol units,^[1] which are used extensively as key building blocks in organic synthesis^[2] or as important chiral ligands for asymmetric reactions.^[3] As part of our efforts to design and develop this kind of tandem reaction, we described a samarium-catalyzed tandem reaction of tertiary α -hydroxy epoxides,^[1b] which involves the rearrangement of the migrating group R² from C1 to C2 (rate-controlling step), as shown in structure **I** of Figure 1, and subsequently an immediate Tishchenko reduction of the potential β -hydroxy ketone with perfect diastereocontrol.

Recently our investigation has resulted in another novel samarium-catalyzed diastereoselective tandem rearrangement/reduction of secondary α -hydroxy epoxides **1**. To the best of our knowledge, this new kind of catalytic tandem reaction has not been reported. It involves another semi

[a]	Prof. YQ. Tu, Dr. CA. Fan, XD. Hu, BM. Wang, ZL. Song
	Department of Chemistry and
	State Key Laboratory of Applied Organic Chemistry
	Lanzhou University
	Lanzhou 730000 (China)
	Fax: (+86)931-8912582
	E-mail: tuyq@lzu.edu.cn
	Supporting information for this article is available on the WWW under
	http://www.chemeurj.org/ or from the author.



Figure 1. Comparison of two types of semipinacol rearrangement of α -hydroxy epoxides.

pinacol-type rearrangement with C1 to C3 carbon migration and concomitant formation of an intermediate aldehyde at the original C2 position, as seen in structure **II** of Figure 1, and a subsequent slower hetero-Tishchenko reduction of the intermediate aldehyde and the reductant aldehyde (ratedetermining step) to afford another kind of 2-quarternary 1,3diol unit. The synthetic value of this sequence lies in the stereoselective derivation of two adjacent stereocenters, one being a quaternary center bearing a hydroxymethyl moiety, which are widely present in many chiral reagents^[3a-e,g,i] and biologically active molecules.^[2, 4] Additionally, of particular importance is the stereoselective construction of the quaternary carbon, which has long been an important class of structural unit.^[5]

The one-step rearrangement in our samarium-mediated Lewis acid catalyzed tandem reaction of α -hydroxy-unprotected substrates was not readily accessible for some cases using the conventional Lewis acid, even though it is equivalent to that reported by Yamamoto et al.^[6] However, their reported one-step transformation required up to two equivalents of the highly sterically hindered, aluminum-based

DOI: 10.1002/chem.200304782

© 2003 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim

- 4301

FULL PAPER

Lewis acid MABR [methylaluminum bis(4-bromo-2,6-tertbutylphenoxide)] and was only applicable to hydroxy-protected substrates. Consequently, it is of significant interest that substoichiometric amounts of the easily accessible SmI_2 promoted the semipinacol rearrangement/Tishchenko reaction of α -hydroxy-unprotected substrates.

Since the introduction of the first useful method for the generation of samarium(II) diiodide (SmI₂) by Kagan and coworkers in 1980,^[7] SmI₂ has received considerable attention and has been widely used in modern organic synthesis, especially as a single-electron reducing reagent in stoichiometric amounts or in excess.^[7, 8] However, only a few reactions with substoichiometric amounts of SmI2 have been investigated.^[1b, 9] Moreover, to the best of our knowledge, very few were effective in employing SmI₂ to react with epoxides, whether in a stoichiometric or catalytic fashion. For example, SmI₂ has been applied to promote the deoxygenation,^[7, 10] ring opening,^[11] and the reductive coupling of epoxides,^[8x] and to catalyze the rearrangement^[9b] and the rearrangement/reduction of epoxides.^[1b] Additionally, SmI₂ has also been used in Tishchenko reactions,^[1b, 9c,e,k-o] generally in which the hydride is smoothly transferred from the aldehyde to the inter- or intramolecular ketone group. But, to the best of our knowledge, there were few examples of homo- or hetero-Tishchenko reactions of two aldehydes catalyzed by SmI₂,^[9I, 12] in which the hydride is transferred from one aldehyde to the other. As a result, it is particularly important that we present our studies towards the tandem rearrangement/Tishchenko reaction of α hydroxy epoxides promoted by substoichiometric amounts of SmI₂, in which the interesting hetero-Tishchenko reaction of the reductant aldehyde and the intermediate aldehyde derived from the semipinacol rearrangement takes place.

Herein, we disclose our detailed research results on this novel samarium-catalyzed tandem reaction.

Results and Discussion

The secondary α -hydroxy epoxides **1** examined were prepared in racemic form from the corresponding allylic alcohols through epoxidation with *m*-chloroperbenzoic acid (*m*CPBA).^[13] As described in Scheme 1, a solution of **1**



Scheme 1. Samarium-catalyzed tandem reaction of secondary α -hydroxy epoxides.

(1.0 equiv), SmI₂ (0.3 equiv), and the reductant aldehyde **R**CHO (6.0 equiv) in Cl(CH₂)₂Cl was stirred at between room temperature and approximately 70 °C (as applicable) under an argon atmosphere, and a tandem semipinacol rearrangement/Tishchenko reduction proceeded smoothly with high diastereoselectivity to generate the 2-quaternary 1,3-diol

monoesters 2 and/or the corresponding acyl migration product 2'.^[1b, 9], 16b,d,f,k,p] Products 2 and 2' were identified to have the same 2-quaternary 1,3-diol core structure by NMR spectroscopy analysis of the products of their methanolysis with NaOH/MeOH.^[14a] To further investigate the relative stereochemistry at C1 and C3 of the products 2 and 2', we prepared the acetonide^[14b] of the 2-quaternary 1,3-diol obtained by methanolysis of 2 and/or 2'. The two-dimensional NOESY spectrum revealed an obvious spatial correlation between the group R¹ and H, as shown in *cis*-A in Figure 2. If the group CH₂OR³ were *trans* to OR² in 2 and/or 2', no spatial correlation would occur in the acetonide *trans*-B (Figure 2); therefore, the group CH₂OR³ is *cis* to OR² on the fivemembered ring in 2 and/or 2'.



Figure 2. The determination of C1 and C3 relative stereochemistry in the product **2** and/or **2'**.

In connection with our previous work,^[1b] we first screened the active aldehydes as an effective reductant for this catalytic tandem reaction. Initially, we selected the secondary α hydroxy epoxide **1a** ($\mathbf{R}^1 = \mathbf{Ph}$) as a model substrate, and benzaldehyde ($\mathbf{R} = \mathbf{Ph}$) as a reductive agent. Following the above-mentioned general procedure, the 2-quaternary 1,3diol monoesters **2aa/2'aa** were obtained, but only in a moderate yield of 47%. It occurred to us that this fact could be caused by the weak hydride-transfer ability of the reductant PhCHO in this reaction.^[1b, 16g] Based on this consideration, a series of aldehydes with different electronic and/or steric effects were employed to investigate the tandem reaction of **1a** under parallel experimental conditions, and the results are tabulated in Table 1.

From Table 1 we can see that the yields were dependent, to some extent, upon the electronic and/or steric effects of the reductant aldehydes. For example, among the substituted benzaldehydes used, the aldehydes bearing the meta- or paraelectron-withdrawing groups (entries 3, 4, 6, and 7) gave better results; the $pCl-C_6H_4CHO$ was the best. In contrast, unfavorable electronic effects of the electron-donating groups in the meta- or para-substituted benzaldehydes (entries 9, 10, and 12) led to much lower yields than PhCHO. These facts indicated that reductants based on electron-rich substituted benzaldehydes could severely retard this reaction. Use of ortho-substituted benzaldehydes, no matter whether it has an electron-withdrawing group (entry 2) or electron-donating group (entry 8), resulted in much lower yields. This fact may be ascribed to the steric effect of the ortho substituents. To support this assertion, oF-C₆H₄CHO, which contains the less bulky ortho-fluoro substituent,^[15] was subjected to this model reaction and indeed produce the 1,3-diol monoesters 2 ad/2' ad in higher yield (40%) compared with entries 2 and 8. Accordingly, the steric effect of bulky ortho substituents on substituted benzaldehydes may be the principal factor that

Table 1. Samarium-catalyzed rearrangement/reduction of the secondary α -hydroxy epoxide **1a** with various reductant aldehydes **R**CHO^[a]

Entry	R	$T [^{\circ}C]$	<i>t</i> [h]	Product	2:2' ^[b]	Yield [%] ^[c]
1	Ph	65	3	2 aa/2' aa	72:28	47
2	o-C ₆ H ₄ Cl	65	4	2 ab/2' ab	80:20	11
3	$m-C_6H_4Cl$	65	2	2 ac/2' ac	60:40	60
4	$p-C_6H_4Cl$	65	1.5	2 a/2' a	90:10	63
5	$o-C_6H_4F$	60	7	2 ad/2' ad	63:27	40
6	$p-C_6H_4CF_3$	60	1.5	2 ae/2' ae	> 98:2	62
7	$p-C_6H_4NO_2$	60	0.25	2 af/2' af	82:18	55
8	o-C ₆ H ₄ Me	60	2 ^[d]	2 ag/2' ag	>99:<1	2
9	$m-C_6H_4Me$	60	4	2 ah/2' ah	> 99: <1	17
10	$p-C_6H_4Me$	60	5 ^[e]	2 ai/2′ ai	>99:<1	7
11	m-C ₆ H ₄ OMe	60	3.5	2 aj/2′ aj	80:20	52
12	p-C ₆ H ₄ OMe	60	2 ^[d]	-	_	trace
13	2-Furyl	60	4.5	_	_	[f]
14	Cyclohexyl	60	3	2 ak/2' ak	85:15	50
15	iPr	60	3.5	2 al/2' al	> 98:2	41
16	nPr	60	3.5	2 am/2' am	24:76	56

[a] All reactions proceeded with SmI₂ (0.3 equiv), the substrate **1a** ($\mathbb{R}^1 = \mathbb{Ph}$, 1.0 equiv) and the reductant aldehyde **R**CHO (6.0 equiv) in Cl(CH₂)₂Cl following the general procedure (see Experimental Section). [b] The ratios were determined by ¹H NMR spectroscopy. [c] Total yields of isolated product **2** and/or **2'**. [d] Prolongation of the reaction time led to more unidentified by-products, as monitored by TLC. [e] After continuing to run for 19 h at 60 °C, the result obtained was similar to that for 5 h. [f] No desired product **2** and/or **2'** was observed; the semipinacol rearrangement product (β -hydroxy aldehyde) was obtained in 43 % yield.

gives rise to the lower yield for this tandem reaction. To our surprise, $mMeO-C_6H_4CHO$ (entry 11) gave a good yield of 52% for reasons that remain unclear. In addition, another type of aromatic aldehyde, 2-furaldehyde, was found to be ineffective in this reaction. Furthermore, three aliphatic aldehydes (entries 14–16) gave results approximately equal to those obtained using PhCHO; the less bulky *n*PrCHO even provided a slightly higher yield. To the best of our knowledge,

ductant aldehyde, which has seldom been mentioned in the previously reported Tishchenko reaction promoted by SmI_2 ,^{[1b, 9-} c.e.k-o] is a rate-determining step in this tandem sequence.

Our further investigations were aimed at the optimization of other reaction conditions, the scope of the substrates, and the ratios of the two monoester products **2** and **2'**; the corresponding experimental results are listed in Table 2.

Generally we employed 0.3 equivalents of SmI_2 in the typical procedure, as using less SmI_2 (e.g., 0.2 equiv; Table 2, entry 5) prolonged the reaction time and lowered the reaction yield. Of all the solvents examined, toluene and 1,2-dichloro-ethane (Cl(CH₂)₂Cl) proved to be compatible with this reac-

tion (e.g., entries 4 and 6), but use of coordinative media, such as THF (entry 7) and CH₃CN (entry 8), had a significant influence on the reaction and gave lower yields and longer reaction times. This may arise from weakening the samariummediated Lewis acidic property by coordination with the lone pair of electrons of the solvents. Moreover, temperatures of approximately 70 °C were generally necessary for this tandem reaction to proceed readily. As compared with the Evans

these facts mentioned above have not been reported in detail in the previous Tishchenko reactions.^[1b, 9c,e,k-o, 16]

On the basis of the above evaluation, the cheap pCl-C₆H₄CHO was finally chosen as the reductant for this catalytic tandem reaction. Additionally, for this model experiment, we found that further decreasing the amounts of aldehydes employed made this reaction slow, and so we generally used six equivalents of aldehyde as a reductant for this reaction.

Notably, the intermediate aldehyde, which arose from the semipinacol rearrangement of **1a** during the above reactions, was observed on TLC, and was further characterized by NMR spectroscopy. Importantly, this fact shows that the hetero-Tishchenko reaction of the intermediate aldehyde and the reTable 2. Samarium-catalyzed tandem reaction of secondary α -hydroxy epoxides with pCl-C₆H₄CHO

10010	2. Dumariani vara	ijzea tanaem i		ondaryo	, inj ai c	billy openities with	p 01 0 ₀ 11 ₄ 011	
Entry	Substrate ^[a]	SmI ₂ [equiv]	Solvent	$T[^{\circ}C]$	<i>t</i> [h]	Product ^[b]	2:2' ^[c]	Yield [%] ^[d]
	OH 1 2 5 3 R ¹					OR ² OR ³		
1	1a ($R^1 = Ph$)	0.3	Cl(CH ₂) ₂ Cl	65	1.5	2 a/2' a	90:10	63
2	1a	0.3	$Cl(CH_2)_2Cl$	65	3	2 a/2' a	45:55	63
3	1a	0.3	$Cl(CH_2)_2Cl$	85	1	2 a/2' a	72:28	62
4	1b ($R^1 = Et$)	0.3	PhCH ₃	80	1	2 b/2' b	80:20	75
5	1b	0.2	PhCH ₃	80	2.5	2 b/2' b	67:33	55
6	1b	0.3	Cl(CH ₂) ₂ Cl	70	1.5	2 b/2' b	> 99: < 1	73
7	1b	0.3	THF	reflux	8	2 b/2' b	19:81	58
8	1b	0.3	CH ₃ CN	70	4	2 b/2' b	50:50	65
9	$1c(R^{1}=Bn)$	0.3	Cl(CH ₂) ₂ Cl	70	2.5	2 c/2′ c	60:40	82
10	$\mathbf{1d} (\mathbf{R}^1 = n\mathbf{Bu})$	0.3	Cl(CH ₂) ₂ Cl	70	2	2 d/2' d	>98:2	76
11	$1e(R^1 = allyl)$	0.3	$Cl(CH_2)_2Cl$	70	1	2 e/2' e	$<\!1\!:>\!99$	82
	Ph							
12	1 f ^[e]	0.3	Cl(CH ₂) ₂ Cl	RT	2	2 f/2' f ^[f]	<1:>99	89

[a] All substrates were racemic. [b] 1,3-Diol monoesters **2**: $R^2 = pCl-C_6H_4CO$, $R^3 = H$; **2**': $R^2 = H$, $R^3 = pCl-C_6H_4CO$. [c] The ratios were determined by ¹H NMR spectroscopy. [d] Total yields of isolated product **2** and/or **2'**. [e] After purification by column chromatography, one single diastereoisomer (*threo:erythro* 100:0) was obtained as identified by ¹H NMR spectroscopy; also see ref. [13a]. [f] $R = pCl-C_6H_4$.

condition for the Tishchenko reactions of β -hydroxy ketones,^[9e] the relatively higher temperatures may be responsible for the semipinacol rearrangement of α -hydroxy epoxides and the hetero-Tishchenko reduction of the intermediate α quaternary β -hydroxy aldehydes.

As can be seen in Table 2, this catalytic tandem reaction was effective for six-membered cyclic substrates 1a-1e, and the substituent R^1 could be Et, *n*Bu, allyl, Bn, or Ph. The comparison of these substituents showed that an sp³-hybridized alkyl group gave a higher yield than an sp²-hybridized aryl one. This may be due to the fact that the sp²-hybridized substituent R^1 could stabilize the transition state generated from C3–O bond cleavage of the type shown in structure **II** of Figure 1 (also, see below and **3** in Scheme 2), and the resultant



Scheme 2. Proposed catalytic cycle for rearrangement/reduction of secondary a-hydroxy epoxides.

delocalization, to some extent, may inhibit the C1 to C3 concerted migration catalyzed by the samarium-mediated Lewis acid.

To extend the substrate scope, one tandem reaction of the acyclic substrate 1f was conducted. Surprisingly, at room temperature, a new tandem semipinacol rearrangement/ Tishchenko reaction involving an additional aldol-transfer process^[17] took place to furnish the unusual product 2'f in good yield; this product is in fact a very useful chiral resolving agent intermediate.^[3a,g,h] To the best of our knowledge, this is the first report of such a tandem transformation in the presence of substoichiometric amounts of SmI₂.^[9], 16k,p, 17] In comparison with the tandem reaction of cyclic substrates 1a-1e, this acyclic case shows that once the enolate precursor (i.e., the intermediate β -hydroxy aldehyde) was formed through semipinacol rearrangement, in the presence of the reductant pCl-C₆H₄CHO, a stepwise retro-aldol aldolisation process took place faster than the direct Tishchenko reduction.

Furthermore, the success of the tandem reaction was dependent on substrate structure. For example, when R^1 was an sp-hybridized substituent, such as 1-heptynyl

(CH₃(CH₂)₄C≡C[−]) or 1-phenylacetylenyl (C₆H₅C≡C[−]), complex unidentified products formed. Additionally, for the substrate **1** (R¹ = Ph) with a C2 substituent (such as methyl, other than the hydrogen), only uncharacterized mixtures were observed in our experiments, possibly as a result of competition between C2−O bond cleavage and the expected C3−O bond cleavage. Moreover, the substrate **1** (R¹ = Me) with two sterically hindered C5-methyl groups, which was prepared from isophorol, was also examined, but the desired 1,3-diol monoesters **2**/**2'** were afforded in a much lower yield of 17 %. With the seven-membered cyclic substrate *cis*-2,3-epoxy-3-*n*-butyl-cycloheptanol, we only obtained the expected product **2**/**2'** in 21 % yield.

In addition, the ratios of the 2-quaternary 1,3-diol monoesters 2 and 2' relied primarily on the temperature, the reaction time, and the structure of product 2. Usually, increasing the temperature (entry 3 of Table 2) and prolonging the reaction time (entry 2) should favor the formation of the product 2' through acyl migration. Moreover, the structure of 2 could also affect the ratios of 2 and 2'. For example (entry 12), at room temperature for 2 h, the product 2 f was nearly completely converted into the more stable 2'f. These facts imply that 2' could be a thermodynamic product and that 2 could be a kinetic product.^[1b, 9l, 16b,d,f,k,p]

In the general procedure, when substoichiometric

amounts of SmI₂ in THF were added to the solution of the secondary α -hydroxy epoxide 1 and the reductant RCHO in aprotic solvent (PhCH₃, Cl(CH₂)₂Cl, THF, or CH₃CN), the deep blue color of freshly prepared SmI2 in THF disappeared immediately. This observation suggests that SmI2 is not the actual catalyst, but only a catalyst precursor.^[1b, 9e,g,j] Based on previous reports,^[7, 8d] we know that in the presence of the α hvdroxy epoxide 1 containing a secondary hydroxy group (R^4R^5COH), a direct reduction of the reductant **R**CHO by SmI₂ could also take place in the first stage of our reported tandem reaction and produce SmIII-mediated alkoxides $(I_2Sm-OCH_2\mathbf{R} \text{ and } I_2Sm-OCR^4R^5)$ as the catalytically active species. In fact, both aromatic and aliphatic aldehydes are effective in the present reaction (see Table 1). Thus, when aromatic aldehydes were used as reductants in the reaction, we could not exclude the possibility that the Sm^{III}-mediated pinacol adduct (I₂Sm-O-CHR-CHR-O-SmI₂), which was generated from the pinacol coupling of the aromatic aldehyde,[9e,i, 18] serves as an active catalyst. According to these considerations, whether the Sm^{III}-mediated alkoxide, the Sm^{III}-mediated pinacol adduct, or a combination of the two are the catalytically active species remains to be determined. At present, we think the active samarium catalyst may well be some samarium(III) entity (a Sm^{III}-mediated Lewis acid, represented by [Sm] in Scheme 2).

On the basis of the experimental results above and the literature reports,^[1b, 9c,e,g,j-l,o, 7, 8d, 16, 18] a possible catalytic cycle for this tandem reaction is proposed as depicted in Scheme 2. The catalyst [Sm] is firstly formed in situ from SmI₂ and the reductant RCHO, and then coordinates with the epoxy oxygen atom and the hydroxy oxygen atom in 1 to afford the complex **3**. Subsequently, cleavage of the activated C3–O bond of the epoxide occurs concomitantly with a C1 to C3 concerted migration with inversion of the configuration at C3 position, and then the complex 4 is formed. Because the intermediate aldehyde 4' was observed during the reaction, it shows that the irreversible semipinacol rearrangement $(3 \rightarrow 4)$ is faster than the subsequent Tishchenko reduction $(7 \rightarrow 8)$ in this tandem reaction. Consequently, the catalyst [Sm] can be rapidly released from 4, and again it coordinates with two oxygen atoms of 1 to continuously transform 1 into 4 as described above. Simultaneously, the catalyst [Sm] in the complex 4 further coordinates with the reductant RCHO to readily afford the complex 5, and subsequently a [Sm]catalyzed nucleophilic attack of the secondary hydroxy to the carbonyl of **R**CHO furnishes the hemiacetal 6, then a fast proton transfer results in the formation of 7. So, an irreversible intramolecular 1,5-hydride transfer (rate-controlling step) occurs in the transition state 7, and gives the [Sm]-coordinated 1,3-diol monoesters 8, which further generates the final 1,3diol monoesters 2 by releasing the catalyst [Sm] for the next cycle. As shown in 7, the hemiacetal hydride transfer may be promoted by not only the transfer of the negative charge from the catalyst [Sm] to the hemiacetal carbon, but also the effective overlap of the antibonding orbital of the hemiacetal C-H bond with one lone pair on the hemiacetal oxygen.^[19]

From the above-proposed mechanism, we could know that the formation of the samarium-bound hemiacetal **6** is the premise for the hydride transfer in transition state **7**. As a consequence, any factor influencing the formation of **6** in the reversible $\mathbf{5} \rightarrow \mathbf{6}$ equilibrium could affect the rate-controlling irreversible transformation of **7** into **8**. Accordingly, some characteristic properties of this catalytic tandem reaction can be explained. For example, when

the substituted benzaldehydes with a bulky *ortho*-substituent group X (X = Me, Cl) as a reductant were subjected to this reaction, the formations of the hemiacetal intermediates 6a and 6'a (corresponding to 6 in Scheme 2), as indicated in Scheme 3, were unfavorable because of steric hindrance between X and [Sm]-O-C in 6a or the cyclopentane moiety in 6'a. Thus, entries 2 (X = Cl, electron-withdrawing group (EWG)) and 8 (X = Me, electron-donating group (EDG)) in Table 1 afforded much lower yields. This fact also indicates that the electronic effects of the ortho substituents have minor influence on this reaction. As expected, when the group X was a less bulky ortho substituent, such as fluorine (entry 5 of Table 1),^[15] a higher yield of 40% was obtained compared with 11% for entry 2 and 2% for entry 8. In contrast, as shown in Scheme 4, it is reasonable that no steric effect was observed in this tandem reaction with the meta- or parasubstituted benzaldehydes. For the meta- or para-substituted benzaldehydes bearing an electron-withdrawing group X $(X = mCl, pCl, pCF_3, pNO_2; \text{ see } 5b \text{ in Scheme 4}), \text{ the}$ electrophilic reactivity of the carbonyl group of the reductant aldehydes, to some extent, can be increased by the electrondeficient substituted phenyls, which is favorable for the formation of the hemiacetal 6b (corresponding to 6 of Scheme 2), and then the expected higher yields (55-63%)were achieved (entries 3, 4, 6, and 7 in Table 1). However, if the meta or para substituent X is mMe, pMe, or pOMe (see 5'b of Scheme 4), the opposite electronic effect can be found in the present reaction, and a decrease of the electrophilic reactivity of the carbonyl group of the reductant aldehydes results in lower yields (entry 9, 10, and 12 in Table 1).

In addition, the formation of the thermodynamic product 2' through acyl migration^[1b, 9l, 16b,d,f,k,p] of the kinetic product 2 can be understood from Scheme 5. Here the driving force for converting 2 into 2' may result from the fact that the acyl group (RCO) tends to attach to the primary hydroxyl group rather than the crowded secondary hydroxyl group.

Conclusion

In conclusion, we have discovered a novel, highly stereoselective, samarium-catalyzed tandem semipinacol rearrangement/Tishchenko reduction of secondary α -hydroxy epoxides. The two different processes in this tandem reaction, namely, a semipinacol rearrangement of the secondary α -hydroxy



Scheme 3. Steric effect of ortho substituents on substituted benzaldehydes in the tandem reaction.



Scheme 4. Electronic effect of meta and para substituents on substituted benzaldehydes in the tandem reaction.

Chem. Eur. J. 2003, 9, 4301–4310 www.chemeurj.org © 2003 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim

- 4305



Scheme 5. Proposed mechanism for the formation of regioisomeric product 2'.

epoxides and a hetero-Tishchenko reduction of the intermediate β -hydroxy aldehydes, could be realized by substoichiometric amounts of the easily accessible SmI₂ as a catalyst precursor. Moreover, the latter process could be significantly affected by the reductant aldehydes with different steric and electronic effects. We found pCl-C₆H₄CHO was the most effective reductant in this reaction, and by further optimizing the reaction conditions this sequence has been applied to the one-step construction of another class of 2-quaternary 1,3-diol unit comprising an hydroxymethyl moiety bearing the diastereogenic quaternary carbon center. On the basis of our detailed studies, a mechanism for this catalytic tandem reaction was proposed. According to the catalytic cycle, we explained the steric effect of ortho substituents and the electronic effect of meta or para substituents on the substituted benzaldehydes, and additionally a rationalization was also proposed for the formation of the thermodynamic acyl migration product 2'.

Experimental Section

General: All anhydrous solvents (Cl(CH₂)₂Cl, PhCH₃, CH₃CN, and THF) were dried by standard techniques and freshly distilled before use. All aldehyde reductants were purchased from Fluka, and also freshly distilled, except for the pNO₂-C₆H₄CHO, under an argon atmosphere under ordinary or reduced pressure prior to use. Samarium(II) diiodide (SmI2) was prepared from samarium (Fluka) and iodine in dried THF under Ar as described in the literature.^[20] All reactions were monitored by thin-layer chromatography (TLC) on gel F_{254} plates. The silica gel (200-300 mesh) for column chromatography was from the Qingdao Marine Chemical Factory in China, and the distillation range of light petroleum is 60-90 °C. ¹H NMR and ¹³C NMR spectra were recorded in CDCl₃ solution (unless otherwise noted) on an Avance DRX-200 or Bruker AM-400 MHz instrument. Spectral data are reported in ppm relative to tetramethylsilane (TMS) as internal standard. The GC-MS and MS data were obtained with EI (70 eV), and the relative intensity (%) is given in brackets. High-resolution mass spectral analysis (HRMS) data were measured on the Bruker ApexII by means of the ESI technique.

General procedure for the synthesis of the secondary α -hydroxy epoxides (1): *m*CPBA (1.1 equiv) was added to a stirred solution of the allylic alcohol (1.0 equiv) in CH₂Cl₂ at 0 °C.^[13] After complete consumption of the substrate (as monitored by TLC), the reaction mixture was diluted with CH₂Cl₂, washed with 10% aqueous K₂CO₃ solution, and brine, and dried (MgSO₄) overnight. Evaporation of the solvent under reduced pressure gave the crude product **1**, which was purified by column chromatography

on silica gel eluting with a mixture solvent of light petroleum and ethyl acetate to yield the secondary α -hydroxy epoxide **1**.

cis-2,3-Epoxy-3-phenylcyclohexanol (1 a): ¹H NMR (200 MHz, (CD₃)₂CO): δ = 7.40 − 7.25 (m, 5 H), 4.12 − 4.00 (m, 1 H), 3.10 (d, *J* = 2.2 Hz, 1 H), 3.05 (br s, 1 H; OH), 2.35 − 2.21 (m, 1 H), 1.96 − 1.82 (m, 1 H), 1.73 − 1.61 (m, 2 H), 1.57 − 1.40 ppm (m, 2 H); ¹³C NMR (50 MHz, (CD₃)₂CO): δ = 142.2, 128.2, 128.2, 127.1, 125.2, 125.2, 66.5, 65.0, 62.6, 28.0, 27.8, 19.4 ppm.

cis-2,3-Epoxy-3-ethylcyclohexanol (1b): ¹H NMR (200 MHz, CDCl₃): δ = 4.05 – 3.90 (m, 1H), 3.16 (d, *J* = 3.0 Hz, 1H), 2.51 (d, *J* = 9.2 Hz, 1H; OH), 1.81 – 1.15 (m, 8H), 0.95 ppm (t, *J* = 7.6 Hz, 3H); ¹³C NMR (50 MHz, CDCl₃): δ = 67.2, 64.9, 61.2, 30.1, 28.5, 26.1, 18.7, 8.6 ppm.

cis-2,3-Epoxy-3-benzylcyclohexanol (1c): ¹H NMR (200 MHz, CDCl₃): $\delta = 7.34 - 7.19$ (m, 5H), 4.07 - 3.95 (m, 1H), 3.20 (d, J = 3.0 Hz, 1H), 2.90, 2.84 (ABq, J = 14.4 Hz, 2H), 2.39 (d, J = 8.4 Hz, 1H; OH), 1.74 - 1.10 ppm (m, 6H); ¹³C NMR (50 MHz, CDCl₃): $\delta = 136.5$, 129.4, 129.4, 128.3, 128.3, 126.6, 66.6, 64.1, 60.8, 43.5, 29.1, 26.6, 17.8 ppm.

cis-2,3-Epoxy-3-*n*-butylcyclohexanol (1d): ¹H NMR (200 MHz, CDCl₃): $\delta = 4.06 - 3.89$ (m, 1H), 3.14 (d, J = 2.8 Hz, 1H), 2.75 (d, J = 9.0 Hz, 1H; OH), 1.80 - 1.19 (m, 12 H), 0.90 ppm (t, J = 7.0 Hz, 3 H); ¹³C NMR (50 MHz, CDCl₃): $\delta = 67.0, 64.3, 61.5, 37.1, 28.7, 26.8, 26.5, 22.6, 18.5, 13.9 ppm.$

cis-2,3-Epoxy-3-allylcyclohexanol (1e): ¹H NMR (200 MHz, CDCl₃): δ = 5.89 – 5.68 (m, 1 H), 5.18 – 5.15 (m, 1 H), 5.10 – 5.08 (m, 1 H), 4.08 – 3.93 (m, 1 H), 3.20 (d, J = 2.8 Hz, 1 H), 2.82 (brs, 1 H; OH), 2.38 – 2.32 (m, 2 H), 1.80 – 1.20 ppm (m, 6 H); ¹³C NMR (50 MHz, CDCl₃): δ = 132.7, 118.1, 67.0, 63.4, 60.9, 41.7, 28.5, 26.4, 18.5 ppm.

threo-1-Phenyl-2,3-epoxy-3-methylbutanol (1 f): ¹H NMR (400 MHz, CDCl₃): $\delta = 7.42 - 7.33$ (m, 5 H), 4.59 (d, J = 8.2 Hz, 1 H), 3.06 (brs, 1 H; OH), 3.01 (d, J = 8.2 Hz, 1 H), 1.46 (s, 3 H), 1.32 ppm (s, 3 H).

General procedure for Sm^{II}-catalyzed tandem semipinacol rearrangement/ Tishchenko reduction of the secondary α -hydroxy epoxides: Unless otherwise noted, a solution of SmI₂ (0.1 m in THF, 1.2 mL, 0.3 equiv) was added dropwise to a stirred solution of the substrate **1** (0.4 mmol, 1.0 equiv) and the reductant aldehyde (2.4 mmol, 6.0 equiv) in dried Cl(CH₂)₂Cl (4.0 mL) at room temperature under an argon atmosphere. The resulting reaction mixture was stirred at between room temperature and approximately 80 °C (as necessary). When TLC analysis indicated that the substrate **1** had disappeared completely, the reaction mixture was treated with a saturated aqueous NaHCO₃ solution (3 mL) followed by CH₂Cl₂ (10 mL). The organic layer was separated, and the aqueous phase was carefully extracted with CH₂Cl₂ (3 × 10 mL), and the combined extracts were dried over MgSO₄. After removal of the solvent in vacuo, the residue was purified by column chromatography on silica gel (eluting with 5 \rightarrow 10% EtOAc in light petroleum) to afford **2** and/or **2'**.

cis-1-Hydroxymethyl-1-phenylcyclopent-2-yl 4'-chlorobenzoate (2a) and cis-(1-phenyl-2-hydroxycyclopent-1-yl)methyl 4'-chlorobenzoate (2'a): By following the typical procedure described above for entry 1 in Table 2 and entry 4 in Table 1, a solution of the substrate 1a (76.0 mg, 0.4 mmol, 1.0 equiv) and pCl-C₆H₄CHO (2.4 mmol, 6.0 equiv) in Cl(CH₂)₂Cl (4.0 mL) was treated with SmI₂ (0.1M, 1.2 mL, 0.3 equiv) for 1.5 h at 65 °C to give the products 2a/2'a (90:10, 83.3 mg) in a total yield of 63 %. For entries 2 and 3 in Table 2, the experimental operation was analogous to that described above, and the reactions were stirred for 3 h at 65 °C and for 1 h at 85 °C, respectively, to afford the products 2a/2'a in 63% and 62% yield and with the ratios of 45:55 and 72:28. **2a**: ¹H NMR (400 MHz, CDCl₃): $\delta = 8.04 -$ 8.01, 7.47-7.45 (AA'BB', 4H), 7.46-7.27 (m, 5H), 5.78 (dd, J=3.7, 6.4 Hz, 1 H), 3.97, 3.80 (ABq, J = 11.3 Hz, 2 H), 2.32 – 2.10 (m, 3 H), 2.02 – 1.90 (m, 1H), 1.90-1.80 (m, 1H), 1.80-1.65 ppm (m, 1H); ¹³C NMR (100 MHz, $CDCl_3$: $\delta = 165.4, 142.6, 139.6, 130.9, 130.9, 128.8, 128.8, 128.8, 128.6,$ 126.9, 126.9, 126.9, 82.2, 67.4, 56.1, 32.0, 31.1, 20.6 ppm; MS (70 eV): m/z (%): 191 (0.4) $[M - ClC_6H_4CO]^+$, 174 (9) $[M - ClC_6H_4CO_2H]^+$, 144 (68), 141 (33) [³⁷CIC₆H₄CO]⁺, 139 (100) [³⁵CIC₆H₄CO]⁺, 131 (16), 118 (51), 113 (8) [³⁷ClC₆H₄]⁺, 111 (25) [³⁵ClC₆H₄]⁺, 91 (18), 77 (10); HRMS (ESI): *m/z* calcd for C₁₉H₁₉O₃ClNa: 353.0915; found: 353.0911 [M+Na]⁺.

2'a: ¹H NMR (400 MHz, CDCl₃): δ = 7.83 – 7.81, 7.48 – 7.46 (AA'BB', 4H), 7.40 – 7.25 (m, 5H), 4.79, 4.47 (ABq, J = 11.4 Hz, 2H), 4.55 (dd, J = 5.1, 6.3 Hz, 1H), 2.21 – 2.17 (m, 2H), 2.07 – 1.95 (m, 1H), 1.95 – 1.85 (m, 1H), 1.84 – 1.74 (m, 1H), 1.71 – 1.60 ppm (m, 1H); ¹³C NMR (100 MHz, CDCl₃): δ = 166.2, 143.6, 139.5, 130.9, 130.9, 128.7, 128.7, 128.5, 128.3, 128.3, 126.8, 126.8, 126.6, 78.5, 69.0, 54.3, 32.9, 32.0, 19.6 ppm; MS (70 eV): m/z (%): 191 (0.08) [M – ClC₆H₄CO]⁺, 174 (21) [M – ClC₆H₄CO₂H]⁺, 144 (6), 141 (12)

[³⁷ClC₆H₄CO]⁺, 139 (33) [³⁵ClC₆H₄CO]⁺, 131 (28), 118 (100), 113 (6) [³⁷ClC₆H₄]⁺, 111 (20) [³⁵ClC₆H₄]⁺, 91 (20), 77 (13); HRMS (ESI): m/z calcd for C₁₉H₂₀O₃Cl: 331.1095; found: 331.1095 [M+H]⁺.

cis-1-Ethyl-1-hydroxymethylcyclopent-2-yl 4'-chlorobenzoate (2b) and cis-(1-ethyl-2-hydroxycyclopent-1-yl)methyl 4-chlorobenzoate (2'b): By following the typical procedure described above for entry 4 of Table 2, a solution of the epoxide 1b (56.8 mg, 0.4 mmol, 1.0 equiv) and pCl- C_6H_4CHO (2.4 mmol, 6.0 equiv) in $PhCH_3$ (4.0 mL) was treated with SmI₂ (0.1M, 1.2 mL, 0.3 equiv) for 1 h at 80 °C to give the 2-quaternary 1,3diol monoesters products 2b/2'b (80:20, 84.7 mg) in a total yield of 75%. For entry 5 of Table 2, 0.2 equiv SmI2 was used in the catalytic tandem reaction; the mixture was stirred for 2.5 h at 80 °C, and the products 2b/2'b (67:33, 62.1 mg, 55%) were obtained. For entries 6-8 in Table 2, Cl(CH₂)₂Cl, THF, and CH₃CN were used as solvents in this reaction, respectively, and the experimental process was analogous to the typical procedure; for detailed data see entries 6-8 in Table 2. **2b**: ¹H NMR (400 MHz, CDCl₃): $\delta = 7.95 - 7.92$, 7.42 - 7.39 (AA'BB', 4H), 5.14 (dd, J =3.2, 5.9 Hz, 1 H), 3.57, 3.53 (ABq, J=11.6 Hz, 2 H), 2.25-2.15 (m, 2 H), 1.92-1.76 (m, 2H), 1.76-1.60 (m, 2H), 1.60-1.50 (m, 1H), 1.35-1.25 (m, 1 H), 0.92 ppm (t, J = 7.4 Hz, 3 H); ¹³C NMR (100 MHz, CDCl₃): $\delta = 165.9$, 139.6, 130.9, 130.9, 128.8, 128.8, 128.8, 82.6, 63.4, 51.0, 31.5, 30.5, 26.1, 21.0, 8.2 ppm; GC-MS (70 eV): m/z (%): 143 (1) $[M - ClC_6H_4CO]^+$, 141 (35) $[{}^{37}\text{ClC}_6\text{H}_4\text{CO}]^+$, 139 (100) $[{}^{35}\text{ClC}_6\text{H}_4\text{CO}]^+$, 126 (5) $[M - \text{ClC}_6\text{H}_4\text{CO}_2\text{H}]^+$, 113 (8) $[{}^{37}\text{ClC}_6\text{H}_4]^+$, 111 (26) $[{}^{35}\text{ClC}_6\text{H}_4]^+$, 98 (19), 82 (36), 67 (26), 55 (14), 41 (14); HRMS (ESI): m/z calcd for C₁₅H₂₀O₃Cl: 283.1095; found: 283.1096 $[M+H]^+$.

2'b: ¹H NMR (400 MHz, CDCl₃): $\delta = 7.98 - 7.95$, 7.42 - 7.39 (AA'BB', 4H), 4.58, 4.23 (ABq, J = 11.6 Hz, 2H), 3.85 - 3.82 (m, 1H), 2.69 (d, J = 3.8 Hz, 1H; OH), 2.21 - 1.26 (m, 8H), 0.95 ppm (t, J = 7.4 Hz, 3H); ¹³C NMR (100 MHz, CDCl₃): $\delta = 166.3$, 139.6, 130.9, 130.9, 128.6, 128.6, 128.5, 78.4, 65.9, 50.3, 33.2, 30.0, 26.5, 20.4, 8.4 ppm; GC-MS (70 eV): m/z (%): 143 (0.5) $[M - ClC_6H_4CO]^+$, 141 (25) [³⁷ClC_6H_4CO]^+, 139 (79) [³⁵ClC_6H_4CO]^+, 126 (3) $[M - ClC_6H_4CO_2H]^+$, 113 (14) [³⁷ClC_6H_4]^+, 111 (41) [³⁵ClC_6H_4]^+, 108 (62), 98 (49), 82 (100), 67 (60), 55 (40), 41 (40); HRMS (ESI): m/z calcd for $C_{15}H_{20}O_3Cl$: 283.1095; found: 283.1096 $[M+H]^+$.

cis-1-Benzyl-1-hydroxymethylcyclopent-2-yl 4'-chlorobenzoate (2 c) and cis-(1-benzyl-2-hydroxycyclopent-1-yl)methyl 4'-chlorobenzoate (2'c): By following the typical procedure described above for entry 9 in Table 2, a solution of the substrate 1c (81.6 mg, 0.4 mmol, 1.0 equiv) and pCl-C₆H₄CHO (2.4 mmol, 6.0 equiv) in Cl(CH₂)₂Cl (4.0 mL) was treated with SmI₂ (0.1M, 1.2 mL, 0.3 equiv) for 2.5 h at 70 °C to give 2c/2'c (113.0 mg, 82 %) in the ratio of 60:40. **2c**: ¹H NMR (400 MHz, CDCl₃): δ = 7.94 – 7.90, 7.44-7.40 (AA'BB', 4H), 7.34-7.20 (m, 5H), 5.27 (dd, J=2.6, 6.1 Hz, 1H), 3.50, 3.45 (ABq, J = 11.8 Hz, 2H), 3.05, 2.53 (ABq, J = 13.2 Hz, 2H), 2.42-2.30 (m, 1H), 1.97-1.80 (m, 3H), 1.60-1.53 (m, 1H), 1.47-1.39 ppm (m, 1 H); ¹³C NMR (100 MHz, CDCl₃): $\delta = 166.0$, 139.7, 137.6, 131.0, 131.0, 130.6, 130.6, 128.8, 128.8, 128.6, 128.1, 128.1, 126.3, 82.3, 63.0, 52.4, 38.6, 31.1, 29.9, 20.6 ppm; MS (70 eV): m/z (%): 326 (0.01) $[M - H_2O]^+$, 253 (0.1) $[M - Bn]^+, 205 (0.1) [M - ClC_6H_4CO]^+, 188 (18) [M - ClC_6H_4CO_2H]^+, 157$ (60), 141 (37) $[{}^{37}ClC_6H_4CO]^+$, 139 (100) $[{}^{35}ClC_6H_4CO]^+$, 113 (12) $[{}^{37}\text{ClC}_6\text{H}_4]^+$, 111 (35) $[{}^{35}\text{ClC}_6\text{H}_4]^+$, 91 (72), 57 (20), 41 (19); HRMS (ESI): m/z calcd for C₂₀H₂₂O₃Cl: 345.1252; found: 345.1261 [M+H]⁺.

2' c: ¹H NMR (400 MHz, CDCl₃): $\delta = 8.03 - 8.00$, 7.48 - 7.46 (AA'BB', 4 H), 7.34 - 7.22 (m, 5 H), 4.53, 4.12 (ABq, J = 11.6 Hz, 2 H), 4.01 (dd, J = 3.4, 6.1 Hz, 1 H), 2.78, 2.60 (ABq, J = 13.5 Hz, 2 H), 2.25 - 2.15 (m, 1 H), 1.97 - 1.85 (m, 1 H), 1.85 - 1.71 (m, 2 H), 1.62 - 1.50 ppm (m, 2 H); ¹³C NMR (100 MHz, CDCl₃): $\delta = 166.3$, 139.7, 137.7, 131.0, 131.0, 130.2, 130.2, 128.9, 128.9, 128.5, 128.4, 128.4, 126.5, 78.0, 65.8, 51.3, 39.5, 32.6, 29.9, 20.1 ppm; MS (70 eV): m/z (%): 326 (0.1) $[M - H_2O]^+$, 253 (0.06) $[M - Bn]^+$, 188 (10) $[M - CIC_6H_4CO_2H]^+$, 170 (23), 141 (22) $[^{37}CIC_6H_4CO]^+$, 139 (65) $[^{35}CIC_6H_4CO]^+$, 113 (12) $[^{37}CIC_6H_4]^+$, 111 (33) $[^{35}CIC_6H_4]^+$, 91 (100), 57 (16), 41 (26); HRMS (ESI): m/z calcd for $C_{20}H_{22}O_3CI$: 345.1252; found: 345.1256 $[M+H]^+$.

cis-1-*n*-Butyl-1-hydroxymethylcyclopent-2-yl 4'-chlorobenzoate (2d): By following the typical procedure described above for entry 10 of Table 2, a solution of the substrate 1d (68.0 mg, 0.4 mmol, 1.0 equiv) and *p*Cl-C₆H₄CHO (2.4 mmol, 6.0 equiv) in Cl(CH₂)₂Cl (4.0 mL) was treated with SmI₂ (0.1_M, 1.2 mL, 0.3 equiv) for 2 h at 70 °C to give 2d/2'd (94.4 mg, 76%) in >98:2 ratio. ¹H NMR (400 MHz, CDCl₃): δ = 7.96 – 7.93, 7.44 – 7.41 (AA'BB', 4H), 5.14 (dd, *J* = 3.1, 5.9 Hz, 1H), 3.56, 3.53 (ABq, *J* = 12.0 Hz,

2 H), 2.25 – 2.15 (m, 1 H), 1.94 – 1.78 (m, 2 H), 1.78 – 1.68 (m, 1 H), 1.68 – 1.48 (m, 3 H), 1.45 – 1.19 (m, 5 H), 0.92 ppm (t, J = 7.2 Hz, 3 H); ¹³C NMR (100 MHz, CDCl₃): $\delta = 166.0$, 139.6, 130.9, 130.9, 128.8, 128.8, 128.6, 82.9, 63.9, 50.9, 33.6, 31.5, 30.9, 26.0, 23.5, 21.0, 14.1 ppm; MS (70 eV): m/z (%): 171 (0.5) $[M - \text{ClC}_6\text{H}_4\text{CO}]^+$, 154 (4) $[M - \text{ClC}_6\text{H}_4\text{CO}_2\text{H}]^+$, 141 (30) $[^{37}\text{ClC}_6\text{H}_4\text{CO}]^+$, 139 (100) $[^{35}\text{ClC}_6\text{H}_4\text{CO}]^+$, 113 (10) $[^{37}\text{ClC}_6\text{H}_4]^+$, 111 (34) $[^{35}\text{ClC}_6\text{H}_4]^+$, 98 (47), 67 (25), 55 (21), 41 (30); HRMS (ESI): m/z calcd for $C_{17}\text{H}_2\text{q}_3\text{Cl}$: 311.1408; found: 311.1414 $[M+\text{H}]^+$.

cis-(1-Allyl-2-hydroxycyclopent-1-yl)methyl 4'-chlorobenzoate (2'e): By following the typical procedure described above for entry 11 in Table 2, a solution of the substrate 1e (61.6 mg, 0.4 mmol, 1.0 equiv) and pCl-C₆H₄CHO (2.4 mmol, 6.0 equiv) in Cl(CH₂)₂Cl (4.0 mL) was treated with SmI_2 (0.1m, 1.2 mL, 0.3 equiv), and stirred for 1 h at 70 $^\circ C$ to give the products 2e/2'e (<1:>99, 96.6 mg, 82%). ¹H NMR (200 MHz, CDCl₃): $\delta = 8.00 - 7.95$, 7.45 - 7.40 (AA'BB', 4H), 6.00 - 5.79 (m, 1H), 5.12 (d, J =10.8 Hz, 1 H), 5.11 (d, J = 16.4 Hz, 1 H), 4.56, 4.22 (ABq, J = 11.6 Hz, 2 H), 3.90 (dd, J=2.4, 5.6 Hz, 1 H), 2.71 (brs, 1 H; OH), 2.25-1.95 (m, 3 H), 1.95–1.50 ppm (m, 5H); ¹³C NMR (50 MHz, CDCl₃): $\delta = 166.2$, 139.6, 134.0, 131.0, 131.0, 128.8, 128.8, 128.5, 118.3, 78.0, 66.4, 50.2, 38.7, 33.0, 30.3, 20.2 ppm; MS (70 eV): m/z (%): 276 (0.04) $[M - H_2O]^+$, 253 (0.04) $[M - H_2O]^+$ CH₂CH=CH₂]⁺, 141 (23) [³⁷ClC₆H₄CO]⁺, 139 (75) [³⁵ClC₆H₄CO]⁺, 138 (2) $[M - ClC_6H_4CO_2H]^+$, 113 (12) $[{}^{37}ClC_6H_4]^+$, 111 (36) $[{}^{35}ClC_6H_4]^+$, 94 (48), 79 (100), 55 (27), 41 (47); HRMS (ESI): m/z calcd for $C_{16}H_{20}O_3CI$: 295.1095; found: 295.1094 [M+H]+.

1-(4'-Chlorophenyl)-1-hydroxy-2,2-dimethylprop-3-yl 4'-chlorobenzoate (2'f): By following the typical procedure described above for entry 12 of Table 2, a solution of the substrate 1f (71.2 mg, 0.4 mmol, 1.0 equiv) and pCl-C₆H₄CHO (2.4 mmol, 6.0 equiv) in Cl(CH₂)₂Cl (4.0 mL) was treated with SmI₂ (0.1M, 1.2 mL, 0.3 equiv) for 2 h at room temperature to give the products 2 f/2' f (<1:>99, 113.3 mg, 89%). ¹H NMR (400 MHz, CDCl₃): $\delta = 7.95 - 7.92, 7.45 - 7.42$ (AA'BB', 4H), 7.33 - 7.25 (AA'BB', 4H), 4.62 (d, J = 2.2 Hz, 1 H), 4.43, 4.01 (ABq, J = 10.9 Hz, 2 H), 2.56 (d, J = 2.2 Hz, 1 H; OH), 1.00 (s, 3 H), 0.95 ppm (s, 3 H); 13 C NMR (50 MHz, CDCl₃): $\delta = 165.8$, 139.6, 139.4, 133.3, 130.9, 130.9, 128.9, 128.9, 128.8, 128.8, 128.4, 127.9, 127.9, 77.2, 71.0, 39.5, 21.5, 19.4 ppm; GC-MS (70 eV): m/z (%): 213 (0.2) $[M(^{37}Cl) - ClC_6H_4CHOH]^+$, 211 (0.6) $[M(^{35}Cl) - ClC_6H_4CHOH]^+$, 198 $(0.3) \quad [M({}^{37}\text{Cl}) - \text{ClC}_6\text{H}_4\text{CO}_2\text{H}]^+, \quad 196 \quad (0.9) \quad [M({}^{35}\text{Cl}) - \text{ClC}_6\text{H}_4\text{CO}_2\text{H}]^+,$ (32). $[{}^{37}\text{ClC}_6\text{H}_4\text{CHOH}]^+,$ (100)157 143 (26)141 $[{}^{35}\text{ClC}_6\text{H}_4\text{CHOH} + {}^{37}\text{ClC}_6\text{H}_4\text{CO}]^+, 139 (50) [{}^{35}\text{ClC}_6\text{H}_4\text{CO}]^+, 113 (12)$ [³⁷ClC₆H₄]⁺, 111 (19) [³⁵ClC₆H₄]⁺, 77 (36), 56 (68) [CH₂=C(CH₃)₂]; HRMS (ESI): *m*/*z* calcd for C₁₈H₂₂Cl₂O₃N: 370.0971; found: 370.0977 [*M*+NH₄]⁺.

cis-1-Hydroxymethyl-1-phenylcyclopent-2-yl benzoate (2aa) and *cis*-(1-phenyl-2-hydroxycyclopent-1-yl)methyl benzoate (2'aa): By following the typical procedure described above for entry 1 in Table 1, a solution of the substrate **1a** (76.0 mg, 0.4 mmol, 1.0 equiv) and PhCHO (2.4 mmol, 6.0 equiv) in Cl(CH₂)₂Cl (4.0 mL) was treated with SmI₂ (0.1M, 1.2 mL, 0.3 equiv) for 3 h at 65 °C to give the products **2aa/2' aa** (72:28, 55.6 mg, 47 %). **2aa**: ¹H NMR (200 MHz, CDCl₃): $\delta = 8.03 - 8.00$, (m, 2 H), 7.57 - 7.17 (m, 8 H), 5.72 (dd, J = 3.6, 6.4 Hz, 1 H), 3.91, 3.76 (ABq, J = 11.2 Hz, 2 H), 2.70 (brs, 1 H; OH), 2.21 - 1.60 ppm (m, 6H); ¹³C NMR (50 MHz, CDCl₃): $\delta = 166.2$, 142.8, 133.1, 130.3, 129.6, 129.6, 128.6, 128.6, 128.5, 126.9, 126.8, 82.0, 67.5, 56.1, 32.0, 31.1, 20.6 ppm; GC-MS (70 eV): *m/z* (%): 191 (0.6) [M - PhCO]⁺, 174 (27) [M - PhCO_H]⁺, 144 (12), 131 (31), 118 (100), 105 (88) [PhCO]⁺, 91 (21), 77 (50), 51 (12); HRMS (ESI): *m/z* calcd for C₁₁₉H₂₀O₃Na: 319.1305; found: 319.1296 [M+Na]⁺.

2'aa: ¹H NMR (200 MHz, CDCl₃): $\delta = 7.85 - 7.81$ (m, 2H), 7.57 - 7.17 (m, 8H), 4.69, 4.39 (ABq, J = 11.4 Hz, 2H), 4.46 (t, J = 6.0 Hz, 1H), 2.70 (brs, 1H; OH), 2.21 - 1.60 ppm (m, 6H); ¹³C NMR (50 MHz, CDCl₃): $\delta = 167.0$, 143.7, 133.0, 130.0, 129.6, 129.6, 128.3, 128.3, 128.2, 128.2, 126.9, 126.9, 126.5, 78.5, 68.8, 54.2, 32.8, 32.0, 19.8 ppm; GC-MS (70 eV): m/z (%): 174 (16) $[M - PhCO_2H]^+$, 144 (23), 131 (22), 118 (71), 105 (100) [PhCO]^+, 91 (17), 77 (53), 51 (15); HRMS (ESI): m/z calcd for C₁₉H₂₀O₃Na: 319.1305; found: 319.1296 $[M+Na]^+$.

cis-1-Hydroxymethyl-1-phenylcyclopent-2-yl 2'-chlorobenzoate (2 ab) and *cis*-(1-phenyl-2-hydroxycyclopent-1-yl)methyl 2'-chlorobenzoate (2'ab): By following the typical procedure described above for entry 2 of Table 1, a solution of the substrate **1a** (76.0 mg, 0.4 mmol, 1.0 equiv) and *o*Cl-C₆H₄CHO (2.4 mmol, 6.0 equiv) in Cl(CH₂)₂Cl (4.0 mL) was treated with SmI₂ (0.1M, 1.2 mL, 0.3 equiv) for 4 h at 65 °C to give the products **2ab/2'ab** (80:20, 14.5 mg, 11 %). **2ab**: ¹H NMR (200 MHz, CDCl₃): δ = 7.92 – 7.89 (m,

1 H), 7.53 – 7.29 (m, 8 H), 5.85 (dd, J = 3.2, 6.2 Hz, 1 H), 4.00, 3.84 (ABq, J = 11.2 Hz, 2 H), 2.30 – 1.60 ppm (m, 6 H).

2' ab: ¹H NMR (200 MHz, CDCl₃): *δ* = 7.60 − 7.28 (m, 9 H), 4.80, 4.56 (ABq, *J* = 11.2 Hz, 2 H), 4.58 (t, *J* = 6.2 Hz, 1 H), 2.40 − 1.60 ppm (m, 6 H).

cis-1-Hydroxymethyl-1-phenylcyclopent-2-yl 3'-chlorobenzoate (2 ac) and cis-(1-phenyl-2-hydroxycyclopent-1-yl)methyl 3'-chlorobenzoate (2'ac): By following the typical procedure described above for entry 3 in Table 1, a solution of the substrate 1a (76.0 mg, 0.4 mmol, 1.0 equiv) and mCl-C6H4CHO (2.4 mmol, 6.0 equiv) in Cl(CH2)2Cl (4.0 mL) was treated with SmI₂ (0.1_M, 1.2 mL, 0.3 equiv) for 2 h at 65 °C to give the products 2 ac/2' ac (60:40, 79.3 mg, 60%). **2 ac**: ¹H NMR (200 MHz, CDCl₃): $\delta = 8.03 - 7.93$ (m, 2H), 7.57 – 7.15 (m, 7H), 5.78 (dd, J = 3.2, 6.2 Hz, 1H), 3.95, 3.80 (ABq, J = 11.2 Hz, 2H), 2.65 (brs, 1H; OH), 2.31-1.50 ppm (m, 6H); ¹³C NMR $(50 \text{ MHz}, \text{ CDCl}_3): \delta = 165.0, 142.6, 134.6, 133.1, 132.0, 129.8, 129.6$ 128.7, 128.7, 127.7, 126.9, 126.9, 82.4, 67.4, 56.2, 32.0, 31.1, 20.6 ppm; GC-MS (70 eV): m/z (%): 191 (0.4) $[M - ClC_6H_4CO]^+$, 174 (17) $[M - ClC_6H_4CO]^+$ $CIC_{6}H_{4}CO_{2}H]^{+}, \ 144 \ (84), \ 141 \ (35) \ [^{37}CIC_{6}H_{4}CO]^{+}, \ 139 \ (100)$ $[{}^{35}\text{ClC}_6\text{H}_4\text{CO}]^+$, 131 (28), 118 (78), 113 (12) $[{}^{37}\text{ClC}_6\text{H}_4]^+$, 111 (35) $[{}^{35}\text{ClC}_6\text{H}_4]^+$, 91 (30), 77 (10); HRMS (ESI): m/z calcd for C₁₉H₁₉O₃ClNa: 353.0915; found: 353.0911 [*M*+Na]⁺.

2' ac: ¹H NMR (200 MHz, CDCl₃): $\delta = 7.83 - 7.72$ (m, 2 H), 7.57 - 7.15 (m, 7 H), 4.74, 4.46 (ABq, J = 11.2 Hz, 2 H), 4.52 (t, J = 6.8 Hz, 1 H), 2.65 (br s, 1 H; OH), 2.31 - 1.50 ppm (m, 6 H); ¹³C NMR (50 MHz, CDCl₃): $\delta = 165.7$, 143.5, 134.4, 133.0, 131.8, 129.6, 128.3, 128.3, 127.6, 126.9, 126.8, 126.8, 126.6, 78.6, 69.2, 54.1, 32.9, 31.9, 19.8 ppm; GC-MS (70 eV): m/z (%): 174 (26) $[M - \text{ClC}_6\text{H}_4\text{CO}_2\text{H}]^+$, 144 (7), 141 (11) [³⁷ClC₆H₄CO]⁺, 139 (30) [³⁵ClC₆H₄CO]⁺, 131 (32), 118 (100), 113 (6) [³⁷ClC₆H₄]⁺, 111 (20) [³⁵ClC₆H₄]⁺, 91 (20), 77 (8); HRMS (ESI): m/z calcd for C₁₉H₁₉O₃ClNa: 353.0915; found: 353.0911 [M+Na]⁺.

cis-1-Hydroxymethyl-1-phenylcyclopent-2-yl 2'-fluorobenzoate (2 ad) and cis-(1-phenyl-2-hydroxycyclopent-1-yl)methyl 2'-fluorobenzoate (2'ad): By following the typical procedure described above for entry 5 of Table 1, a solution of the substrate 1a (76.0 mg, 0.4 mmol, 1.0 equiv) and oF-C₆H₄CHO (2.4 mmol, 6.0 equiv) in Cl(CH₂)₂Cl (4.0 mL) was treated with SmI₂ (0.1M, 1.2 mL, 0.3 equiv) for 7 h at 60 °C to give the products 2 ad/2' ad (63:37, 50.2 mg, 40 %). **2ad**: ¹H NMR (400 MHz, CDCl₃): $\delta = 8.05 - 8.01$ (m, 1H), 7.59-7.51 (m, 1H), 7.50-7.10(m, 7H), 5.81 (dd, J=4.3, 6.6 Hz, 1 H), 3.99, 3.85 (ABq, J = 11.4 Hz, 2 H), 2.28 - 2.12 (m, 2 H), 2.08 - 1.80 (m, 2H), 1.80–1.60 ppm (m, 2H); ¹³C NMR (100 MHz, CDCl₃): $\delta = 164.3$, 161.9 (d, ${}^{1}J(C,F) = 256.4 \text{ Hz}$), 143.2, 134.7 (d, ${}^{3}J(C,F) = 8.7 \text{ Hz}$), 132.4, 128.6, 128.6, 126.9, 126.9, 126.9, 124.2 (d, ${}^{3}J(C,F) = 3.6 \text{ Hz}$), 118.6 (d, $^{2}J(C,F) = 10.2 \text{ Hz}$, 117.1 (d, $^{2}J(C,F) = 22.8 \text{ Hz}$), 82.9, 67.8, 55.6, 32.3, 31.4, 20.7 ppm; GC-MS (70 eV): *m*/*z* (%): 191 (0.1) [*M* – FC₆H₄CO]⁺, 174 (10) [*M*-FC₆H₄CO₂H]⁺, 144 (54), 131 (13), 123 (100) [FC₆H₄CO]⁺, 118 (41), 95 (17), 91 (13), 77 (5); HRMS (ESI): *m*/*z* calcd for C₁₉H₁₉FO₃Na: 337.1210; found: 337.1205 [M+Na]+.

2' ad: ¹H NMR (400 MHz, CDCl₃): δ = 7.83 - 7.78 (m, 1H), 7.50 - 7.10 (m, 8H), 4.73, 4.54 (ABq, *J* = 11.4 Hz, 2H), 4.60 (t, *J* = 5.9 Hz, 1H), 2.28 - 2.12 (m, 2H), 2.08 - 1.80 (m, 2H), 1.80 - 1.60 ppm (m, 2H); ¹³C NMR (100 MHz, CDCl₃): δ = 164.5, 161.9 (d, ¹*J*(C,F) = 256.4 Hz), 143.8, 134.5 (d, ³*J*(C,F) = 8.9 Hz), 132.2, 128.3, 128.3, 126.9, 126.9, 126.5, 124.0 (d, ³*J*(C,F) = 3.6 Hz), 118.5 (d, ²*J*(C,F) = 10.2 Hz), 117.0 (d, ²*J*(C,F) = 22.6 Hz), 79.1, 69.6, 53.7, 33.2, 32.4, 20.0 ppm; GC-MS (70 eV): *m/z* (%): 174 (22) [*M* - FC₆H₄CO₂H]⁺, 144 (11), 131 (29), 123 (67) [FC₆H₄CO]⁺, 118 (100), 95 (21) [FC₆H₄]⁺, 91 (21), 77 (9); HRMS (ESI): *m/z* calcd for C₁₉H₁₉FO₃Na: 337.1210; found: 337.1205 [*M*+Na]⁺.

cis-1-Hydroxymethyl-1-phenylcyclopent-2-yl 4'-trifluoromethylbenzoate (2ae): By following the typical procedure described above for entry 6 in Table 1, a solution of the substrate 1a (76.0 mg, 0.4 mmol, 1.0 equiv) and $pCF_3-C_6H_4CHO$ (2.4 mmol, 6.0 equiv) in Cl(CH₂)₂Cl (4.0 mL) was treated with SmI₂ (0.1m, 1.2 mL, 0.3 equiv) for 1.5 h at 60 °C to give the products 2ae/2'ae (>98:2, 90.2 mg, 62%). ¹H NMR (400 MHz, CDCl₃): δ = 8.22–8.20, 7.77 – 7.75 (AA'BB', 4H), 7.48 – 7.27 (m, 5 H), 5.83 (dd, *J* = 3.4, 6.3 Hz, 1H), 4.00, 3.83 (ABq, *J* = 11.4 Hz, 2H), 2.35 – 2.15 (m, 3H), 2.05 – 1.95 (m, 1H), 1.95 – 1.83 (m, 1H), 1.83 – 1.70 ppm (m, 1H); ¹³C NMR (100 MHz, CDCl₃): δ = 165.1, 142.5, 134.7 (q, ²*J*(C,F) = 32.2 Hz), 133.6, 130.0, 130.0, 128.8, 128.8, 127.1, 126.9, 125.6, 125.6, 123.6 (q, ^{*J*}(C,F) = 271.4 Hz), 82.6, 67.5, 56.2, 32.2, 31.2, 20.6 ppm; GC-MS (70 eV): *m/z* (%): 191 (0.1) [*M* – CF₃C₆H₄CO]⁺, 174 (24) [*M* – CF₃C₆H₄CO₂H]⁺, 173 (40) [CF₃C₆H₄CO]⁺, 145 (36) [CF₃C₆H₄]⁺, 144 (15), 131 (30), 118 (100), 91

(21), 77 (8); HRMS (ESI): m/z calcd for $C_{20}H_{19}O_3F_3Na$: 387.1179; found: 387.1182 $[M+Na]^+$.

cis-1-Hydroxymethyl-1-phenylcyclopent-2-yl 4'-nitrobenzoate (2af) and cis-(1-phenyl-2-hydroxycyclopent-1-yl)methyl 4'-nitrobenzoate (2'af): By following the typical procedure described above for entry 7 of Table 1, a solution of the substrate **1a** (76.0 mg, 0.4 mmol, 1.0 equiv) and pNO_2 -C₆H₄CHO (2.4 mmol, 6.0 equiv) in Cl(CH₂)₂Cl (4.0 mL) was treated with SmI₂ (0.1m, 1.2 mL, 0.3 equiv) for 0.25 h at 60 °C to give the products 2 af/ **2' af** (82:18, 75.0 mg, 55 %). **2 af**: ¹H NMR (400 MHz, CDCl₃): $\delta = 8.34 - 100$ 8.32, 8.27-8.25 (AA'BB', 4H), 7.49-7.45 (m, 2H), 7.41-7.39 (m, 2H), 7.31-7.27 (m, 1H), 5.85 (dd, J = 3.4, 6.2 Hz, 1H), 3.99, 3.81 (ABq, J =11.2 Hz, 2H), 2.31-2.15 (m, 3H), 2.05-1.85 (m, 2H), 1.83-1.65 ppm (m, 1 H); ¹³C NMR (100 MHz, CDCl₃): $\delta = 164.4$, 150.6, 142.3, 135.7, 130.7, 130.7, 128.8, 128.8, 127.1, 126.8, 126.8, 123.7, 123.7, 82.9, 67.5, 56.3, 32.2, 31.1, 20.6 ppm; GC-MS (70 eV): m/z (%): 191 (1.4) $[M - NO_2C_6H_4CO]^+$, 174 (10) $[M - NO_2C_6H_4CO_2H]^+$, 150 (72) $[NO_2C_6H_4CO]^+$, 144 (100), 131 (23), 118 (57), 104 (42), 91 (39), 77 (14); HRMS (ESI): m/z calcd for C19H19NO5Na: 364.1155; found: 364.1151 [M+Na]+.

2' af: ¹H NMR (400 MHz, CDCl₃): $\delta = 8.25 - 8.23$, 8.04 - 8.02 (AA'BB', 4H), 7.49 - 7.25 (m, 5H), 4.83, 4.54 (ABq, J = 11.2 Hz, 2H), 4.56 (t, J = 5.8 Hz, 1H), 2.31 - 1.65 ppm (m, 6H); ¹³C NMR (100 MHz, CDCl₃): $\delta = 165.0, 150.5, 143.4, 140.4, 130.6, 130.6, 128.4, 128.4, 126.9, 126.7, 126.7, 123.5, 123.5, 78.7, 69.6, 57.1, 33.1, 32.0, 19.8 ppm; GC-MS (70 eV): <math>m/z$ (%): 174 (16) $[M - NO_2C_6H_4CO_2H]^+$, 150 (14) $[NO_2C_6H_4CO]^+$, 144 (5), 131 (31), 118 (100), 104 (20), 91 (21), 77 (8); HRMS (ESI): m/z calcd for $C_{19}H_{19}NO_3Na: 364.1155$; found: 364.1151 $[M+Na]^+$.

cis-1-Hydroxymethyl-1-phenylcyclopent-2-yl 2'-methylbenzoate (2 ag): By following the typical procedure described above for entry 8 in Table 1, a solution of the substrate 1a (76.0 mg, 0.4 mmol, 1.0 equiv) and oMe-C₆H₄CHO (2.4 mmol, 6.0 equiv) in Cl(CH₂)₂Cl (4.0 mL) was treated with SmI₂ (0.1M, 1.2 mL, 0.3 equiv) for 2 h at 60 °C to give the products 2ag/2'ag (>99: <1, 2.5 mg, 2%). ¹H NMR (200 MHz, CDCl₃): δ = 7.96 – 7.93 (m, 1H), 7.53 – 7.26 (m, 8H), 5.81 (dd, *J* = 3.2, 6.2 Hz, 1H), 3.96, 3.79 (ABq, *J* = 11.0 Hz, 2H), 2.66 (s, 3H), 2.30 – 1.50 ppm (m, 6H).

cis-1-Hydroxymethyl-1-phenylcyclopent-2-yl 3'-methylbenzoate (2 ah): By following the typical procedure described above for entry 9 of Table 1, a solution of the substrate **1a** (76.0 mg, 0.4 mmol, 1.0 equiv) and *m*Me-C₆H₄CHO (2.4 mmol, 6.0 equiv) in Cl(CH₂)₂Cl (4.0 mL) was treated with SmI₂ (0.1M, 1.2 mL, 0.3 equiv) for 4 h at 60 °C to give the products **2ah/2'ah** (>99: <1, 21.1 mg, 17 %). ¹H NMR (200 MHz, CDCl₃): δ = 7.89 (brs, 2H), 7.49 – 7.22 (m, 7H), 5.79 (dd, *J* = 3.4, 6.2 Hz, 1H), 3.98, 3.83 (ABq, *J* = 11.4 Hz, 2H), 2.44 (s, 3H), 2.36 – 1.69 ppm (m, 6H); HRMS (ESI): *m*/z calcd for C₂₀H₂₂O₃Na: 333.1461; found: 333.1456 [*M*+Na]⁺.

cis-1-Hydroxymethyl-1-phenylcyclopent-2-yl 4'-methylbenzoate (2 ai): By following the typical procedure described above for entry 10 in Table 1, a solution of the substrate **1a** (76.0 mg, 0.4 mmol, 1.0 equiv) and *p*Me-C₆H₄CHO (2.4 mmol, 6.0 equiv) in Cl(CH₂)₂Cl (4.0 mL) was treated with SmI₂ (0.1m, 1.2 mL, 0.3 equiv) for 5 h at 60 °C to give the products **2ai/2'ai** (>99: <1, 8.6 mg, 7%). ¹H NMR (200 MHz, CDCl₃): δ = 7.99 – 7.95 (m, 2H), 7.49 – 7.27 (m, 7H), 5.78 (dd, *J* = 3.4, 6.2 Hz, 1H), 3.97, 3.82 (ABq, *J* = 11.4 Hz, 2 H), 2.44 (s, 3 H), 2.36 – 1.60 ppm (m, 6H).

cis-1-Hydroxymethyl-1-phenylcyclopent-2-yl 3'-methoxylbenzoate (2 aj) and *cis*-(1-phenyl-2-hydroxycyclopent-1-yl)methyl 3'-methoxylbenzoate (2'aj): By following the typical procedure described above for entry 11 of Table 1, a solution of the substrate **1a** (76.0 mg, 0.4 mmol, 1.0 equiv) and *m*MeO-C₆H₄CHO (2.4 mmol, 6.0 equiv) in Cl(CH₂)₂Cl (4.0 mL) was treated with SmI₂ (0.1M, 1.2 mL, 0.3 equiv) for 3.5 h at 60 °C to give the products **2aj/2'aj** (80:20, 67.8 mg, 52 %). **2aj**: ¹H NMR (200 MHz, CDCl₃): $\delta = 7.69 - 7.10$ (m, 9H), 5.78 (dd, J = 3.4, 6.2 Hz, 1H), 3.98, 3.89 (ABq, J =11.4 Hz, 2H), 3.88 (s, 3H), 2.28 - 1.68 ppm (m, 6H); ¹³C NMR (50 MHz, CDCl₃): $\delta = 166.1$, 159.6, 142.8, 131.6, 129.5, 128.6, 128.6, 126.9, 126.9, 126.9, 121.9, 119.4, 114.3, 82.1, 67.5, 56.1, 55.4, 32.0, 31.1, 20.6 ppm; GC-MS (70 eV): *mlz* (%): 191 (0.6) [$M - MeOC_6H_4CO_1^+$, 174 (33) [M -MeOC₆H₄CO₂H]⁺, 152 (45) [$MeOC_6H_4CO_2$ H]⁺, 91 (20), 77 (30); HRMS (ESI): *mlz* calcd for C₂₀H₂₂O₄Na: 349.1410; found: 349.1417 [M+Na]⁺.

2' aj: ¹H NMR (200 MHz, CDCl₃): δ = 7.69 – 7.10 (m, 9 H), 4.77, 4.45 (ABq, J = 11.2 Hz, 2 H), 4.54 (t, J = 6.8 Hz, 1 H), 3.80 (s, 3 H), 2.28 – 1.68 ppm (m, 6H); ¹³C NMR (50 MHz, CDCl₃): δ = 166.1, 159.5, 142.8, 132.0 – 126.0 (3 C), 129.3, 128.2, 128.2, 126.5, 121.9, 119.7, 113.8, 78.4, 68.8, 55.4, 54.2, 32.8,

4308 —

32.0, 19.8 ppm; GC-MS (70 eV): m/z (%): 174 (48) $[M - MeOC_6H_4CO_2H]^+$, 152 (56) $[MeOC_6H_4CO_2H]^+$, 144 (26), 135 (100) $[MeOC_6H_4CO]^+$, 118 (92), 107 (26) $[MeOC_6H_4]^+$, 91 (19), 77 (24); HRMS (ESI): m/z calcd for $C_{20}H_{22}O_4Na$: 349.1410; found: 349.1417 $[M+Na]^+$.

cis-1-Hydroxymethyl-1-phenylcyclopent-2-yl cyclohexanecarboxylate (2ak) and cis-(1-phenyl-2-hydroxycyclopent-1-yl)methyl cyclohexanecarboxylate (2'ak): By following the typical procedure described above for entry 14 in Table 1, a solution of the substrate 1a (76.0 mg, 0.4 mmol, 1.0 equiv) and C_6H_{11} CHO (2.4 mmol, 6.0 equiv) in Cl(CH₂)₂Cl (4.0 mL) was treated with SmI₂ (0.1M, 1.2 mL, 0.3 equiv) for 3 h at 60 $^{\circ}$ C to give the products 2ak/2'ak (85:15, 60.4 mg, 50%). 2ak: 1H NMR (200 MHz, CDCl₃): $\delta = 7.44 - 7.20$ (m, 5H), 5.55 (dd, J = 3.4, 6.0 Hz, 1H), 3.84, 3.70 (ABq, J = 11.2 Hz, 2H), 2.45 – 1.20 ppm (m, 17H); ¹³C NMR (50 MHz, $CDCl_3$): $\delta = 175.7, 142.8, 128.5, 128.5, 126.8, 126.8, 126.7, 81.0, 67.6, 55.7,$ 43.4, 32.0, 31.0, 29.1, 28.9, 25.7, 25.4, 25.3, 20.5 ppm; GC-MS (70 eV): m/z (%): 191 (0.4) $[M - C_6H_{11}CO]^+$, 174 (26) $[M - C_6H_{11}CO_2H]^+$, 144 (36), 131 (36), 118 (100), 111 (9) $[C_6H_{11}CO]^+$, 91 (25), 83 (89) $[C_6H_{11}]^+$, 77 (7), 55 (36), 41 (14); HRMS (ESI): *m*/*z* calcd for C₁₉H₂₆O₃Na: 325.1774; found: 325.1774 [M+Na]+.

2' ak: ¹H NMR (200 MHz, CDCl₃): $\delta = 7.44 - 7.24$ (m, 5 H), 4.52, 4.22 (ABq, J = 11.2 Hz, 2 H), 4.47 (dd, J = 5.2, 6.0 Hz, 1 H), 2.45 - 1.20 ppm (m, 17 H); ¹³C NMR (50 MHz, CDCl₃): $\delta = 176.5$, 143.6, 128.1, 128.1, 126.4, 126.4, 126.8, 78.4, 68.0, 54.0, 43.1, 32.8, 32.2, 29.5, 28.8, 25.8, 25.7 - 25.3 (2 C), 19.8 ppm; GC-MS (70 eV): m/z (%): 174 (19) $[M - C_6H_{11}CO_2H]^+$, 144 (12), 131 (34), 118 (100), 111 (4) $[C_6H_{11}CO]^+$, 91 (21), 83 (40) $[C_6H_{11}]^+$, 77 (7), 55 (27), 41 (12); HRMS (ESI): m/z calcd for $C_{19}H_{26}O_3$ Na: 325.1774; found: 325.1774 $[M+Na]^+$.

cis-1-Hydroxymethyl-1-phenylcyclopent-2-yl isobutanoate (2al): By following the typical procedure described above for entry 15 of Table 1, a solution of the substrate **1a** (76.0 mg, 0.4 mmol, 1.0 equiv) and *i*PrCHO (2.4 mmol, 6.0 equiv) in Cl(CH₂)₂Cl (4.0 mL) was treated with SmI₂ (0.1M, 1.2 mL, 0.3 equiv) for 3.5 h at 60 °C to give the products **2al/2' al** (>98:2, 42.9 mg, 41 %). ¹H NMR (200 MHz, CDCl₃): δ = 7.42 – 7.21 (m, 5H), 5.53 (dd, *J* = 3.4, 6.2 Hz, 1H), 3.84, 3.70 (ABq, *J* = 11.4 Hz, 2H), 2.62 (m, 1H), 2.21 – 1.50 (m, 6H), 1.23 (d, *J* = 70. Hz, 3H), 1.21 ppm (d, *J* = 70. Hz, 3H), 1.267, S1.2, 67.5, 55.8, 34.3, 31.9, 31.0, 20.5, 19.0, 18.9 ppm; GC-MS (70 eV): *m*/*z* (%): 191 (0.4) [*M* – *i*PrCO]⁺, 174 (16) [*M* – *i*PrCO]⁺, 43 (68) [*i*Pr]; HRMS (ESI): *m*/*z* calcd for C₁₆H₂₂O₃Na: 285.1461; found: 285.1467 [*M*+Na]⁺.

cis-1-Hydroxymethyl-1-phenylcyclopent-2-yl butanoate (2 am) and *cis*-(1-phenyl-2-hydroxycyclopent-1-yl)methyl butanoate (2'am): By following the typical procedure described above for entry 16 in Table 1, a solution of the substrate **1a** (76.0 mg, 0.4 mmol, 1.0 equiv) and *n*PrCHO (2.4 mmol, 6.0 equiv) in Cl(CH₂)₂Cl (4.0 mL) was treated with SmI₂ (0.1M, 1.2 mL, 0.3 equiv) for 3.5 h at 60 °C to give the products **2 am/2' am** (24:76, 58.6 mg, 56 %). **2 am**: ¹H NMR (200 MHz, CDCl₃): δ = 7.43 – 7.20 (m, 5H), 5.57 (dd, J = 3.6, 6.0 Hz, 1 H), 3.86, 3.72 (ABq, J = 11.4 Hz, 2H), 3.30 (brs, 1H; OH), 2.38 (t, J = 7.6 Hz, 2H), 2.25 – 1.49 (m, 8H), 0.99 ppm (t, J = 7.4 Hz, 3H); ¹³C NMR (50 MHz, CDCl₃): δ = 173.4, 142.8, 128.5, 128.5, 126.7, 126.7, 126.7, 81.2, 67.6, 55.6, 32.0, 31.0, 20.5, 18.5, 13.6 ppm; GC-MS (70 eV): *m*/*z* (%): 191 (0.3) [M – nPrCO]⁺, 174 (14) [M – nPrCO₂H]⁺, 144 (24), 131 (30), 118 (100), 91 (26), 77 (10), 71 (30) [nPrCO]⁺, 43 (29) [nPr]⁺; HRMS (ESI): *m*/*z* calcd for C₁₆H₂₂O₃Na: 285.1461; found: 285.1469 [M+Na]⁺.

2' am: ¹H NMR (200 MHz, CDCl₃): $\delta = 7.43 - 7.20$ (m, 5 H), 4.53, 4.24 (ABq, J = 11.2 Hz, 2 H), 4.49 (t, J = 5.8 Hz, 1 H), 3.30 (brs, 1 H; OH), 2.21 (t, J = 7.4 Hz, 2 H), 2.14 - 1.49 (m, 8 H), 0.85 ppm (t, J = 7.4 Hz, 3 H); ¹³C NMR (50 MHz, CDCl₃): $\delta = 174.1$, 143.6, 128.2, 128.2, 126.8, 126.8, 126.4, 78.5, 68.1, 53.9, 36.2, 32.8, 32.1, 19.8, 18.3, 13.5 ppm; GC-MS (70 eV): m/z (%): 174 (15) $[M - nPrCO_2H]^+$, 144 (10), 131 (30), 118 (100), 91 (22), 77 (8), 71 (17) $[nPrCO]^+$, 43 (19) $[nPr]^+$; HRMS (ESI): m/z calcd for C₁₆H₂₂O₃Na: 285.1461; found: 285.1469 $[M+Na]^+$.

Acknowledgement

This work was financially supported by NSFC (No.29925205, 30271488, and QT program), FUKTME of China, the Young Teachers' Fund of the Ministry of Education and the Fund of the Ministry of Education (No.99209).

- a) Y. Q. Tu, L. D. Sun, P. Z. Wang, J. Org. Chem. 1999, 64, 629; b) C. A.
 Fan, B. M. Wang, Y. Q. Tu, Z. L. Song, Angew. Chem. 2001, 113, 3995; Angew. Chem. Int. Ed. 2001, 40, 3877.
- [2] T. Saito, T. Suzuki, M. Morimoto, C. Akiyama, T. Ochiai, K. Takeuchi, T. Matsumoto, K. Suzuki, J. Am. Chem. Soc. 1998, 120, 11633.
- [3] a) W. ten Hoeve, H. Wynberg, J. Org. Chem. 1985, 50, 4508; b) R. Sjouken, R. Ebens, R. M. Kellogg, Recl. Trav. Chim. 1992, 111, 57; c) X. Hu, R. M. Kellogg, Synthesis 1995, 533; d) X. Hu, R. M. Kellogg, Recl. Trav. Chim. 1996, 115, 410; e) X. Hu, R. M. Kellogg, Recl. Trav. Chim. 1996, 115, 407; f) A. S. C. Chan, W. Hu, C. C. Pai, C. P. Lau, Y. Jiang, A. Mi, J. Sun, R. Lou, J. Deng, J. Am. Chem. Soc. 1997, 119, 9570; g) T. Vries, H. Wynberg, E. van Echten, J. Koek, W. ten Hoeve, R. M. Kellogg, Q. B. Broxterman, A. Minnaard, B. Kaptein, S. van der Sluis, L. Hulshof, J. Kooistra, Angew. Chem. 1998, 110, 2491; Angew. Chem. Int. Ed. 1998, 37, 2349; h) J. W. Nieuwenhuijzen, R. F. P. Grimbergen, C. Koopman, R. M. Kellogg, T. R. Vries, K. Pouwer, E. van Echten, B. Kaptein, L. A. Hulshof, Q. B. Broxterman, Angew. Chem. 2002, 114, 4457; Angew. Chem. Int. Ed. 2002, 41, 4281; i) H. C. Aspinall, Chem. Rev. 2002, 102, 1807.
- [4] The structural units with a quaternary carbon bearing an hydroxymethyl moiety could be applied to the synthesis of some biologically active molecules. For example, a) J. D. Connolly, R. A. Hill in *Dictionary of Terpenoids, Vol. 1*, 1st ed., Chapman and Hall, London, **1991**, pp. 299–300; b) G. Bringmann, T. Pabst, P. Henschel, M. Michel, *Tetrahedron* **2001**, *57*, 1269; unpublished results: the total synthesis of (±)-madindoline A and B is ongoing in our group using the core structure of products from this sequence; for the current synthesis of Madindoline A and B, see: T. Sunazuka, T. Hirose, T. Shirahata, Y. Harigaya, M. Hayashi, K. Komiyama, S. Ômura, J. Am. Chem. Soc. **2000**, *122*, 2122; T. Hirose, T. Sunazuka, T. Shirahata, D. Yamamoto, Y. Harigaya, I. Kuwajima, S. Ômura, *Org. Lett.* **2002**, *4*, 501.
- [5] For recent reviews on stereoselective construction of a quaternary carbon center, see: a) K. Fuji, *Chem. Rev.* 1993, *93*, 2037; b) D. Seebach, A. R. Sting, M. Hoffmann, *Angew. Chem.* 1996, *108*, 2880; *Angew. Chem. Int. Engl. Ed.* 1996, *35*, 2708; c) E. J. Corey, A. Guzman-Perez, *Angew. Chem.* 1998, *110*, 402; *Angew. Chem. Int. Ed.* 1998, *37*, 388; d) J. Christoffers, A. Mann, *Angew. Chem.* 2001, *113*, 4725; *Angew. Chem. Int. Ed.* 2001, *40*, 4591.
- [6] a) K. Maruoka, T. Ooi, H. Yamamoto, J. Am. Chem. Soc. 1989, 111, 6431; b) K. Maruoka, T. Ooi, S. Nagahara, H. Yamamoto, *Tetrahedron* 1991, 6983;
- [7] P. Girard, J. L. Namy, H. B. Kagan, J. Am. Chem. Soc. 1980, 102, 2693.
- [8] For example: a) H. B. Kagan, M. Sasaki, J. Collin, Pure Appl. Chem. 1988, 60, 1725; b) H. B. Kagan, New J. Chem. 1990, 14, 453; c) G. A. Molander, Chem. Rev. 1992, 92, 29; d) G. A. Molander in Organic Reactions, Vol. 46 (Ed.: L. A. Paquette), Wiley, 1994, pp. 211-367; e) G. A. Molander, C. R. Harris, Chem. Rev. 1996, 96, 307; f) M. Kawatsura, E. Kishi, M. Kito, T. Sakai, H. Shirahama, F. Matsuda, Synlett 1997, 497; g) G. A. Molander, Acc. Chem. Res. 1998, 31, 603; h) T. Kikukawa, T. Hanamoto, J. Inanaga, Tetrahedron Lett. 1999, 40, 7497; i) J. M. Aurrecoechea, R. Fañanás, M. Arrate, J. M. Gorgojo, N. Aurrekoetxea, J. Org. Chem. 1999, 64, 1893; j) G. E. Keck, C. A. Wager, T. Sell, T. T. Wager, J. Org. Chem. 1999, 64, 2172; k) A. Krief, A. -M. Laval, Chem. Rev. 1999, 99, 745; 1) C. U. Dinesh, H.-U. Reissig, Angew. Chem. 1999, 111, 874; Angew. Chem. Int. Ed. 1999, 38, 789; m) K. Ohmori, M. Kitamura, K. Suzuki, Angew. Chem. 1999, 111, 1304; Angew. Chem. Int. Ed. 1999, 38, 1226; n) N. Taniguchi, T. Hata, M. Uemura, Angew. Chem. 1999, 111, 1311; Angew. Chem. Int. Ed. 1999, 38, 1232; o) J. M. Concellón, P. L. Bernad, J. A. Pérez-Andrés, Angew. Chem. 1999, 111, 2528; Angew. Chem. Int. Ed. 1999, 38, 2384; p) X. Jiang, C. Wang, Y. Hu, H. Hu, J. Org. Chem. 2000, 65, 3555; q) A. Caracoti, R. A. Flowers II, Tetrahedron Lett. 2000, 41, 3039; r) L. Yet, Chem. Rev. 2000, 100, 2963; s) M. Ricci, L. Madariage, T. Skrydstrup, Angew. Chem. 2000, 112, 248; Angew. Chem. Int. Ed. 2000, 39, 242; t) T. Kan, S. Nara, T. Ozawa, H. Shirahama, F. Matsuda, Angew. Chem. 2000, 112, 363; Angew. Chem. Int. Ed. 2000, 39, 355; u) S. M. Kim, I. S. Byun, Y. H. Kim, Angew. Chem. 2000, 112, 744; Angew. Chem. Int. Ed. 2000, 39, 728; v) J. M. Concellon, P. L. Bernad, H. Rodriguez-Solla, Angew. Chem. 2001, 113, 4015; Angew. Chem. Int. Ed. 2001, 40, 3897; w) J. M. Concellón, H. Rodríguez-Solla, Chem. Eur. J. 2002, 8, 4493; x) J. L. Chiara, E. Sesmilo, Angew. Chem. 2002, 114, 3376; Angew. Chem. Int. Ed. 2002, 41, 3242; y) G. Masson, S. Py,

Chem. Eur. J. 2003, 9, 4301–4310 www.chemeurj.org © 2003 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim

- 4309

FULL PAPER

Y. Vallée, Angew. Chem. 2002, 114, 1850; Angew. Chem. Int. Ed. 2002, 41, 1772.

- [9] a) J. L. Namy, J. Souppe, J. Collin, H. B. Kagan, J. Org. Chem. 1984, 49, 2045; b) J. Prandi, J. L. Namy, G. Menoret, H. B. Kagan, J. Organomet. Chem. 1985, 285, 449; c) J. Collin, J. L. Namy, H. B. Kagan, New J. Chem. 1986, 10, 229; d) X. Lu, S. Ma, J. Zhu, Tetrahedron Lett. 1988, 26, 5129; e) D. A. Evans, A. H. Hoveyda, J. Am. Chem. Soc. 1990, 112, 6447; f) D. A. Evans, D. L. Rieger, T. K. Jones, S. W. Kaldor, J. Org. Chem. 1990, 55, 6260; g) P. V. Weghe, J. Collin, Tetrahedron Lett. 1993, 34, 3881; h) Y. Ishii, M. Takeno, Y. Kawasaki, A. Muromachi, Y. Nishiyama, S. Sakaguchi, J. Org. Chem. 1996, 61, 3088; i) R. Nomura, T. Matsuno, T. Endo, J. Am. Chem. Soc. 1996, 118, 11666; j) N. Giuseppone, Y. Curtaux, J. Collin, Tetrahedron Lett. 1998, 39, 7845; k) J. L. Hsu, C. T. Chen, J. M. Fang, Org. Lett. 1999, 1, 1989; l) L. Lu, H. Y. Chang, J. M. Fang, J. Org. Chem. 1999, 64, 843; m) N. Takayuki, S. Hiroyuki, S. Satoshi, I. Yasutaka, Tetrahedron Lett. 2000, 41, 3389; n) N. Giuseppone, I. Santos, J. Collin, Tetrahedron Lett. 2000, 41, 639; o) J. L. Hsu, J. M. Fang, J. Org. Chem. 2001, 66, 8573.
- [10] M. Matsukawa, T. Tabuchi, J. Inanaga, M. Yamaguchi, *Chem. Lett.* 1987, 2101.
- [11] a) K. Otsubo, J. Inanaga, M. Yamaguchi, *Tetrahedron Lett.* 1987, 28, 4437; b) M. T. Reetz, E. H. Lauterbach, *Tetrahedron Lett.* 1991, 32, 4477; c) G. A. Molander, G. Hahn, *J. Org. Chem.* 1986, 51, 2596; d) G. A. Molander, B. E. Labelle, G. Hahn, *J. Org. Chem.* 1986, 51, 5259.
- [12] In the homo-Tishchenko reaction of aldehydes, some studies indicate that no reaction could happen using SmI₂ as a catalyst, for example:
 a) H. Berberich, P. W. Roesky, *Angew. Chem.* 1998, *110*, 1618; *Angew. Chem. Int. Ed.* 1998, *37*, 1569; b) M. R. Brgstein, H. Berberich, P. W. Roesky, *Chem. Eur. J.* 2001, *7*, 3078.
- [13] a) B. E. Rossiter, T. R. Verhoeven, K. B. Sharpless, *Tetrahedron Lett.* **1979**, 4733; b) A. H. Hoveyda, D. A. Evans, G. C. Fu, *Chem. Rev.* **1993**, 93, 1307; c) W. Adam, T. Wirth, *Acc. Chem. Res.* **1999**, 32, 703.
- [14] a) K. Mashimo, Y. Sato, *Tetrahedron* 1970, 26, 803; b) T. W. Greene, P. G. M. Wuts in *Protective Groups in Organic Synthesis*, 3rd ed., Wiley, 1999, pp. 201–245.

- [15] Atom radius of fluorine is 64 pm, and that of hydrogen is almost 37.1 pm.
- [16] For examples of homo-Tishchenko reactions or sequential aldol/ Tishchenko reactions with promoters other than SmI2, see, for example: a) W. E. Tischtschenko, Chem. Zentr. 1906, 77, 1309; b) G. M. Villacorta, J. S. Filippo, J. Org. Chem. 1983, 48, 1151; c) K. Yokoo, N. Mine, H. Taniguchi, Y. Fujiwara, J. Organomet. Chem. 1985, 279, C19; d) E. R. Burkhardt, R. G. Bergman, C. H. Heathcock, Organometallics 1990, 9, 30; e) S.-Y. Onozawa, T. Sakakura, M. Tanaka, M. Shiro, Tetrahedren 1996, 52, 4291; f) R. Mahrwald, B. Costisella, Synthesis 1996, 1087; g) Y. Umekawa, S. Sakaguchi, Y. Nishiyama, Y. Ishii, J. Org. Chem. 1997, 62, 3409; h) P. M. Bondnar, J. T. Shaw, K. A. Woerpel, J. Org. Chem. 1997, 62, 5674; i) F. Abu-Hasanayn, A. Streitwieser, J. Org. Chem. 1998, 63, 2954; j) T. Ooi, T. Miura, K. Takaya, K. Maruoka, Tetrahedron Lett. 1999, 40, 7695; k) C. M. Mascarenhas, M. O. Duffey, S. Y. Liu, J. P. Morken, Org. Lett. 1999, 1, 1427; l) C. Delas, O. Blacque, C. Moïsoe, J. Chem. Soc. Perkin Trans. 1 2000, 2265; m) I. Simpura, V. Nevalainen, Tetrahedron Lett. 2001, 42, 3905; n) O. P. Törmäkangas, A. M. P. Koskinen, Org. Process Res. Dev. 2001, 5, 421; o) C. Schneider, M. Hansch, Chem. Commun. 2001, 1218; p) C. M. Mascarenhas, S. P. Miller, P. S. White, J. P. Morken, Angew. Chem. 2001, 113, 621; Angew. Chem. Int. Ed. 2001, 40, 601; q) O. P. Törmäkangas, P. Saarenketo, A. M. P. Koskinen, Org. Process Res. Dev. 2002, 6, 125.
- [17] For examples of references concerning the aldol-transfer Tishchenko reaction, see: a) C. Schneider, M. Hansch, *Chem. Commun.* 2001, 1218; b) I. Simpura, V. Nevalainen, *Tetrahedron Lett.* 2001, 42, 3905.
- [18] a) J. Souppe, L. Danon, J. L. Namy, H. B. Kagan, *J. Organomet. Chem.* **1983**, 250, 227; b) J. L. Namy, J. Souppe, H. B. Kagan, *Tetrahedron Lett.* **1983**, 24, 765; c) H. L. Pedersen, T. B. Christensen, R. J. Enemærke, K. Daasbjerg, T. Skrydstrup, *Eur. J. Org. Chem.* **1999**, 565.
- [19] a) P. Deslongchamps, Stereoelectronic Effects in Organic Chemistry, Pergamon, Oxford, 1983; b) C. L. Perrin, Acc. Chem. Res. 2002, 35, 28.
- [20] T. Imamoto, M. Ono, Chem. Lett. 1987, 501.

Received: January 28, 2003 [F4782]